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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/732,850

12/10/2003

Marvin L. Green

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EXAMINER

NILAND, PATRICK DENNIS

ART UNIT

PAPER NUMBER

1796

NOTIFICATION DATE

DELIVERY MODE

09/29/2008

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary	Application No. 10/732,850	Applicant(s) GREEN ET AL.	
	Examiner Patrick D. Niland	Art Unit 1796	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 9/18/08.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

1. A request for continued examination under 37 CFR 1.114 was filed in this application after a decision by the Board of Patent Appeals and Interferences, but before the filing of a Notice of Appeal to the Court of Appeals for the Federal Circuit or the commencement of a civil action. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 9/18/08 has been entered.

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-3 and 7-16 are rejected under 35 U.S.C. 102(b) as being anticipated by US Pat. Application Publication No. 2002/0155278 Boisseau et al. with "Reactive Polymers Fundamentals and Applications A Concise Guide to Industrial Polymers", page 82 being cited as evidence.

Boisseau discloses coating compositions and coating methods falling within the scope of the instant claims at the abstract; sections [0019], [0022], [0026] which falls within the scope of the instant claims 12 and 14, [0038]-[0043] which falls within the scope of the instant claims

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9-10, [0048] of which the oligomeric film formers fall within the scope of the instant claims as they are not polymeric since they are oligomeric and they can be further polymerized and are thus monomers, [0050] which falls within the scope of the instant claim 2, [0098], [0099], [0105] which falls within the scope of the instant claim 15; and the remainder of the document.

The applicant argues that the patentee requires the film former to be oligomeric or polymeric, not monomeric. The instant claims and the enabling specification do not exclude oligomers, which are not polymers as is clear from the applicant's arguments relating to the prior 112 rejection, by recitation of "non-polymeric". The oligomers of the reference react further to give higher molecular weight polymer when cured and are therefore necessarily "macromonomers" as the term is well understood in the art and are thus "monomeric".

Page 10, lines 4-5 of the applicant's specification states "Higher oligomer products are also possible, but not preferred." clearly indicating that the instantly claimed inventions encompass the "oligomers" of the prior art cited above. This appears to rebut the applicant's argument that their "coating composition is non-polymeric, unlike the polymeric and oligomeric coatings described in Applicant's background, page 2, paragraphs [0003] and [0004] and exemplified in the Boisseau publication."

In response to "112" rejections made in prior prosecution of this application, the applicant argued "Examples of monomeric materials are presented in the specification in paragraphs [0013] to [0027] and the U.S. patent references cited therein." It is again noted that paragraph [0020], page 10, lines 4-5 of the instant specification includes "oligomers" as the instantly claimed "monomers" which is contrary to the applicant's arguments in this regard. Thus, the examiner's position is that the "oligomers" of Boisseau et al. fall within the scope of the instant

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claim language, including “non-polymeric” and “monomeric material” based on the applicant’s own definition of their invention at page 10, lines 4-5 of the instant specification. It is not seen that the applicant’s cited definition of “oligomer” overcomes the instant specification recitation that “oligomers” are included as part of the instantly claimed invention. The applicant’s cited definition of “monomer” also does not define over their specification’s inclusion of oligomers as falling within the scope of the monomers being described at page 10, lines 4-5. The “oligomers” of Boisseau et al. are reacted with the reference’s crosslinking agents to become “one or more constitutional units of” the crosslinked larger polymer molecule that results from the crosslinking reaction of Boisseau et al. and thus the “oligomers” of Boisseau et al. literally meet the applicant’s cited definition of “monomer” not to mention the applicant’s specification includes oligomers as falling within the scope of the claimed invention at page 10, lines 4-5. Thus, the examiner’s reference to “macromonomers” and his assertion that the oligomers of Boisseau et al. fall within the scope of the instant claims are clearly not contrary to the applicant’s specification and the IUPAC definitions of these materials.

Furthermore, sections [0044]-[0046] et seq. of the instant specification include polymeric substances among the instantly claimed “monomeric materials” and “non-polymeric coating compositions” as being within the scope of the instantly claimed invention, since this compound is based on a “polyester”. In fact, many of the disclosed “monomeric material having a plurality of active hydrogen groups” are also oligomeric or polymeric. Therefore, the applicant’s disclosure clearly includes Boisseau et al.’s oligomers within the scope of the instantly claimed invention.

Claim 16 is open to the additional things of the prior art due to the initial use of "comprising".

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The applicant's arguments regarding the reference disclosing oligomeric or polymeric, not monomeric, compounds having a plurality of active hydrogen groups is addressed above, particularly regarding the definition of "macromonomer", which is not rebutted by the applicant.

"Reactive Polymers Fundamentals and Applications A Concise Guide to Industrial Polymers", page 82 defines "macromonomer" as "a polymer that contains reactive groups". It is thus clear that the prior art macromonomer falls within the scope of this definition and therefore falls within the scope of the instantly claimed "monomer" due to the root of the above defined "macromonomer".

For the above stated reasons and those given in the decision of the Board of Appeals of 7/18/08, this rejection is maintained.

5. Claims 1-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat. Application Publication No. 2002/0155278 Boisseau et al. in view of US Pat. No. 5872195 Green et al. and US Pat. No. 5756213 Ohrbom et al. with "Reactive Polymers Fundamentals and Applications A Concise Guide to Industrial Polymers", page 82 being cited as evidence.

Boisseau et al. discloses coating compositions and coating methods falling within the scope of the instant claims at the abstract; sections [0019], [0022], [0026] which falls within the scope of the instant claims 12 and 14, [0038]-[0043] which falls within the scope of the instant claims 9-10, [0048] of which the oligomeric film formers fall within the scope of the instant claims as they are not polymeric since they are oligomeric and they can be further polymerized and are thus monomers, [0050] which falls within the scope of the instant claim 2, [0098],

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[0099], [0105 which falls within the scope of the instant claim 15; and the remainder of the document.

Boisseau et al. does not disclose the instantly claimed monomers of claims 4-6.

It would have been obvious to one of ordinary skill in the art at the time of the instant invention to use the instantly claimed monomers of claims 4-6 as the film forming agent of Boisseau et al. because Boisseau et al. encompasses such film formers at sections [0048] through [0098] and Ohrbom, columns 2 through 8, which falls within the scope of the instant claims 5-6, and the ordinary skilled artisan would have expected the benefits of these film formers as taught by Green (abstract) of which compound c falls within the scope of the instant claim 4, combined with the benefits of the urea compounds of Boisseau et al..

The applicant argues that the patentees require the film formers to be oligomeric or polymeric, not monomeric. The instant claims and the enabling specification do not exclude oligomers, which are not polymers as is clear from the applicant's arguments relating to the prior 112 rejection, by recitation of "non-polymeric". The oligomers of the references react further to give higher molecular weight polymer when cured and are therefore necessarily "macromonomers" prior to curing as the term is well understood in the art and are thus "monomeric".

The above arguments regarding the "oligomeric" active hydrogen containing compounds of Boisseau et al. falling within the scope of the instantly claimed "monomeric materials" and "non-polymeric" of the instant claims is again repeated and applied to the secondary references in view of the applicant's disclosure which explicitly includes "oligomers" and uses "polymers" in its examples.

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Applicant's belief that the array of cited compounds of Ohrbom do not include lactone or hydroxyl carboxylic acid is not persuasive regarding claim 4 which does not require these compounds and ignores the relevant sections of the cited parts of the Ohrbom et al. patent that does have these compounds such as column 6, lines 47 et seq. and column 7, lines 31 et seq.

The appropriate rationale and motivation to combine the references is given above, particularly since Boisseau et al. broadly encompasses such compounds. There is no unexpected result for the components of claims 4-6. These compounds of Ohrbom and Green will have the same functional groups as those of Boisseau et al. and are therefore expected to have the resistance to sag. No evidence to the contrary is seen.

Claim 16 is open to the additional things of the prior art due to the initial use of "comprising".

"Reactive Polymers Fundamentals and Applications A Concise Guide to Industrial Polymers", page 82 defines "macromonomer" as "a polymer that contains reactive groups". It is thus clear that the prior art macromonomer falls within the scope of this definition and therefore falls within the scope of the instantly claimed "monomer" due to the root of the above defined "macromonomer".

For the above stated reasons and those given in the decision of the Board of Appeals of 7/18/08, this rejection is maintained.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick D. Niland whose telephone number is 571-272-1121. The examiner can normally be reached on Monday to Thursday from 10 to 5.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu, can be reached on 571-272-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Patrick D Niland/
Primary Examiner
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